# Periodic Parallelogram Polyominoes

Philippe Nadeau (CNRS, Univ. Lyon 1)

CroCoDays 2018, Zagreb

A polyomino is a set of unit squares of the plane, considered up to global translation.

A parallelogram polyomino (PP) is a connected polyomino whose boundary is the union of two North-East paths.



A polyomino is a set of unit squares of the plane, considered up to global translation.

A parallelogram polyomino (PP) is a connected polyomino whose boundary is the union of two North-East paths.



It is well-known that the number of PPs with half-perimeter n+1 is the Catalan number  $Cat_n = \frac{1}{n+1} \binom{2n}{n}$ .

These are recurring objects in combinatorics, and also occur as simple models in statiscal physics.

We want to count polyominoes by: number of rows (height), number of columns (width), and total number of unit squares (area)

$$PP(x, y, q) = \sum_{p \in PP} x^{\mathsf{height}(p)} y^{\mathsf{width}(p)} q^{\mathsf{area}(p)}$$

We want to count polyominoes by: number of rows (height), number of columns (width), and total number of unit squares (area)

$$PP(x, y, q) = \sum_{p \in PP} x^{\mathsf{height}(p)} y^{\mathsf{width}(p)} q^{\mathsf{area}(p)}$$

Introduce the series

$$N(x, y, q) = \sum_{n \ge 0} \frac{(-y)^n q^{\binom{n+1}{2}}}{(q; q)_n (xq; q)_n} \qquad \hat{N}(x, y, q) = \sum_{n \ge 1} \frac{(-y)^n q^{\binom{n+1}{2}}}{(q; q)_{n-1} (xq; q)_n}$$
  
where  $(a; q)_n = (1-a)(1-aq) \cdots (1-aq^{n-1}).$ 

We want to count polyominoes by: number of rows (height), number of columns (width), and total number of unit squares (area)

$$PP(x, y, q) = \sum_{p \in PP} x^{\mathsf{height}(p)} y^{\mathsf{width}(p)} q^{\mathsf{area}(p)}$$

Introduce the series

$$N(x, y, q) = \sum_{n \ge 0} \frac{(-y)^n q^{\binom{n+1}{2}}}{(q; q)_n (xq; q)_n} \qquad \hat{N}(x, y, q) = \sum_{n \ge 1} \frac{(-y)^n q^{\binom{n+1}{2}}}{(q; q)_{n-1} (xq; q)_n}$$
  
where  $(a; q)_n = (1-a)(1-aq) \cdots (1-aq^{n-1}).$ 

**Theorem[Bousquet-Mélou, Viennot '92]**  $PP(x, y, q) = -x \frac{\hat{N}(x, y, q)}{N(x, y, q)}$ 

# Encoding of polyominoes

Let p be a PP with n columns. Let  $b_1, \ldots, b_n$  be the number of cells of each column from left to right. For  $i = 2, \ldots, n$ , let  $a_i$  be the number of common rows between the i - 1th and ith column.



# Encoding of polyominoes

Let p be a PP with n columns. Let  $b_1, \ldots, b_n$  be the number of cells of each column from left to right. For  $i = 2, \ldots, n$ , let  $a_i$  be the number of common rows between the i - 1th and ith column.



By convention set  $a_1 = 1$ . So one can encode a PP of width n by a sequence

$$1 = a_1 \le b_1 \ge a_2 \le b_2 \dots \ge a_n \le b_n.$$

#### Heaps

Fix a set of *pieces*  $P = \{a, b, c, ...\}$  for which we impose a certain number of commutations ab = ba, bd = db, etc... We then call heap of pieces any word on the alphabet P up to the allowed commutations.

# Heaps

Fix a set of *pieces*  $P = \{a, b, c, ...\}$  for which we impose a certain number of commutations ab = ba, bd = db, etc... We then call heap of pieces any word on the alphabet P up to the allowed commutations.

In our cases pieces are segments of integers  $[i, j] = \{i, i + 1, \dots, j\}.$ 

Two segments commute iff they are disjoint.

## Heaps

Fix a set of *pieces*  $P = \{a, b, c, ...\}$  for which we impose a certain number of commutations ab = ba, bd = db, etc... We then call heap of pieces any word on the alphabet P up to the allowed commutations.

In our cases pieces are segments of integers  $[i, j] = \{i, i + 1, \dots, j\}.$ 

Two segments commute iff they are disjoint.

Such a heap can then be represented by letting the pieces drop one by one when reading the word.



[9,11][7][9,11][2,4][13,14][3,7][6,8]

#### PPs and heaps

Recall that to a PP we associated sequences  $a_i$  and  $b_i$ .

Bousquet-Mélou and Viennot showed if one stacks the segments  $[a_n, b_n], \ldots, [a_1, b_1]$ , this constitutes a bijection between PPs and semi-pyramids, i.e. heaps with a unique maximal element, which is of the form [1, b].

#### PPs and heaps

Recall that to a PP we associated sequences  $a_i$  and  $b_i$ .

Bousquet-Mélou and Viennot showed if one stacks the segments  $[a_n, b_n], \ldots, [a_1, b_1]$ , this constitutes a bijection between PPs and semi-pyramids, i.e. heaps with a unique maximal element, which is of the form [1, b].



It is easily shown that our statistics on polyominoes can be transported on heaps easily.

It is easily shown that our statistics on polyominoes can be transported on heaps easily.

Then Viennot's inversion lemma helps us count numerous families of heaps. The idea is to consider trivial heaps, that is when all segments of the heaps are disjoint. The alternating series of trivial heaps is given precisely by N(x, y, q).

It is easily shown that our statistics on polyominoes can be transported on heaps easily.

Then Viennot's inversion lemma helps us count numerous families of heaps. The idea is to consider trivial heaps, that is when all segments of the heaps are disjoint. The alternating series of trivial heaps is given precisely by N(x, y, q).

Furthermore, when multiplied by this series, cancellations occur in the series counting semi-pyramids: what is left is a series counting certain trivial heaps, which can be expressed as  $\hat{N}(x, y, q)$ , and that completes the proof.

It is easily shown that our statistics on polyominoes can be transported on heaps easily.

Then Viennot's inversion lemma helps us count numerous families of heaps. The idea is to consider trivial heaps, that is when all segments of the heaps are disjoint. The alternating series of trivial heaps is given precisely by N(x, y, q).

Furthermore, when multiplied by this series, cancellations occur in the series counting semi-pyramids: what is left is a series counting certain trivial heaps, which can be expressed as  $\hat{N}(x, y, q)$ , and that completes the proof.

We want to adapt this proof to the case of periodic parallelogram polyominoes.

# Periodic PP

A periodic PP (PPP) is a PP that one "extends periodically": this can be encoded by an integer c not larger than the height of the first or last columns, which represents the overlap between these columns in the periodic extension.



# Periodic PP

A periodic PP (PPP) is a PP that one "extends periodically": this can be encoded by an integer c not larger than the height of the first or last columns, which represents the overlap between these columns in the periodic extension.



If one defines  $a_1 = c$ , one gets a sequence





If one defines  $a_1 = c$ , one gets a sequence

$$a_1 \leq b_1 \geq a_2 \leq b_2 \cdots \geq a_n \leq b_n \geq a_1.$$



By stacking the segments  $[a_i, b_i]$ , one shows that PPPs are in bijection with heaps of segments with a special condition between minima and maxima (special heaps).

One then uses Viennot's inversion lemma: the difficulty here is that cancellations are harder to prove for special heaps. One needs a complicated sign-reversing involution.

One then uses Viennot's inversion lemma: the difficulty here is that cancellations are harder to prove for special heaps. One needs a complicated sign-reversing involution.

In the end, one obtains as numerator a series of marked trivial heaps, which combinatorially explains the derivative in the enumeration.

One then uses Viennot's inversion lemma: the difficulty here is that cancellations are harder to prove for special heaps. One needs a complicated sign-reversing involution.

In the end, one obtains as numerator a series of marked trivial heaps, which combinatorially explains the derivative in the enumeration.

# THANK YOU FOR YOUR ATTENTION