# Visibility in restricted involutions

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joint work with M. Barnabei, F. Bonetti, and N. Castronuovo (Bologna)

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Let  $w = x_1x_2...x_n$  be a word whose letters are pairwise distinct integers. A visible pair in w is a pair  $(x_i, x_{i+r})$ ,  $r \ge 2$ , such that  $x_j < \min\{x_i, x_{i+r}\}$ , for all i < j < i + r.

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w = 3416257

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are (4, 6), (6, 5), and (6, 7)

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## Visibile pairs on the bar-diagram of a permutation

Visible pairs of  $\sigma \iff$  columns that can "see" each other

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#### Why do we care?

#### Applications to:

- computational geometry
- discrete dynamical systems
- microelectronics

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#### For more details

T. Mansour and M. Shattuck, *Visibility in pattern-restricted permutations*, J. Differ. Equ. Appl. **26** (2020), pp 657-675

This paper contains a list of references concerning the cited applications.

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 $\pi = 3512674$ 

contains the pattern 231,

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contains the pattern 231, while it avoids the pattern 321.

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 $S_n(\tau)$  is the subset of  $\tau$ -avoiding permutations in  $S_n$ 

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### Pattern avoiding permutations

A permutation  $\pi$  is said to **contain** a pattern  $\tau$  if  $\pi$  contains a subsequence that is order-isomorphic to  $\tau$ . Otherwise, we say that  $\pi$  **avoids**  $\tau$ . For example, the permutation

 $\pi = 3512674$ 

contains the pattern 231, while it avoids the pattern 321.

 $S_n(\tau)$  is the subset of  $\tau$ -avoiding permutations in  $S_n$ 

 $I_n(\tau)$  is the subset of  $\tau$ -avoiding involutions (namely, self-inverse permutations  $\sigma^2 = \mathrm{id}$ ) in  $S_n$ 

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$$S(\tau) = \bigcup_{n \ge 0} S_n(\tau) \text{ and } I(\tau) = \bigcup_{n \ge 0} I_n(\tau)$$

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 $vis(\sigma) = number of visible pairs in \sigma$ 

Distribution of vis over  $I(\tau) \to \text{find}$  an expression for  $\sum_{\sigma \in I(\tau)} x^{|\sigma|} y^{\text{vis}(\sigma)}$ 

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Distribution of vis on  $S_n(\tau)$ , for any  $\tau \in S_3$ 

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#### Our contribution (2021)

Distribution of vis on  $I_n(\tau)$ , for any  $\tau \in S_3$ 

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# Useful definitions

Definition of left-to-right maximum

Given a permutation

 $\pi = \pi_1 \pi_2 \dots \pi_n$ 

we say that  $\pi_i$  is a left-to-right maximum of  $\pi$  if and only if

 $\pi_j < \pi_i \quad \forall j < i$ 

We denote by  $ltrmax(\pi)$  the number of left-to-right maxima of  $\pi$ .

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For example, the permutation

 $\pi = 315826497$ 

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has 4 left-to-right maxima.

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For example, the permutation

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The notions of left-to-right minimum, right-to-left maximum, and right-to-left minimum can be defined analogously.

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# Useful results

#### Useful Lemma

Let  $w = x_1 x_2 \dots x_n$  be a sequence of pairwise distinct positive integers such that  $(x_1, x_n)$  is a visible pair. Then:

$$vis(w) = n-2$$

#### Useful Corollary

Let  $\pi \in S_n$  be a permutation such that  $\pi(n) = n$ . Then:

 $vis(\pi) = n - ltrmax(\pi)$ 

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#### $\sigma \in I_n(231) \iff \sigma \in I_n(312)$

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$$\sigma \in I_n(231) \iff \sigma \in I_n(312)$$

Hence,  $I_n(231) = I_n(312) = I_n(231, 312)$ 

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$$\sigma \in I_n(231) \iff \sigma \in I_n(312)$$

Hence,  $I_n(231) = I_n(312) = I_n(231, 312)$ 

 $d_{n,k}$  = number of involutions in  $I_n(231, 312)$  with k visible pairs

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#### Simion and Schmidt 1985

 $\sigma \in I_n(231, 312) \iff \sigma = \tau n n - 1 \dots h$  where  $\tau \in I_{h-1}(231, 312)$ 

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 $\Rightarrow$  The visibile pairs of  $\sigma$  appear in the subword  $\tau\, \textit{n}$ 

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 $\Rightarrow$  The visibile pairs of  $\sigma$  appear in the subword  $\tau\,n$ 

Distribution of **vis** over  $I_n(231, 312)$ 

• if k > 0, then  $d_{n,k} = \binom{n-1}{k+1}$  (by Useful Corollary)

$$\bullet \ d_{n,0} = n$$

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 $\mathcal{M}_n = \text{set of Motzkin paths of length } n$ 

 $\beta: I_n(4321) \rightarrow \mathcal{M}_n$ 

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 $Dis_n = \text{set of Motzkin paths of length } n$  with no horizontal steps at positive height



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We can deduce the value of  $vis(\pi)$  from the associated Motzkin path:

Visible pairs read on the corresponding path

Let  $\pi \in I_n(321)$ . Denote by *s* the number of *D* steps of the Motzkin path  $\beta(\pi)$ . Then

$$\mathsf{vis}(\pi) = egin{cases} s & ext{if } \pi(n) = n \ s-1 & ext{otherwise.} \end{cases}$$

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### Distribution of **vis** over $I_n(321)$

Let

$$F(x,y) = \sum_{\pi \in I(321)} x^{|\pi|} y^{vis(\pi)}$$

Then

$$F(x,y) = \frac{1 + x^2(1-y)C(x^2y)}{1 - x^2yC(x^2y) - x}$$

where

$$C(t)=\frac{1-\sqrt{1-4t}}{2t}$$

is the generating function of the sequence of Catalan numbers.

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### Sketch of the proof

The statistics vis is equidistributed with the following statistic on Motzkin paths:

$$s(d) = \begin{cases} \text{number of } D \text{ steps of } d & \text{if } d \text{ ends by } H \\ \text{number of } D \text{ steps of } d - 1 & \text{if } d \text{ ends by } D. \end{cases}$$

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• We can decompose  $\beta(\pi) = aUbD$ 

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• We can decompose 
$$\beta(\pi) = aUbD$$
  
•  $s(\beta(\pi)) = \begin{cases} s(a) + s(UbD) & \text{if } a \text{ ends by } H \\ s(a) + 1 + s(UbD) & \text{if } a \text{ ends by } D. \end{cases}$ 

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 $D_n =$  set of Dyck paths of semilength n

Krattenthaler's bijection  $\Psi : S_n(123) \rightarrow D_n$ 

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Krattenthaler's bijection  $\Psi$  :  $S_n(123) \rightarrow D_n$ 

 $\pi = w_s M_s \dots w_2 M_2 w_1 M_1$ 

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where the  $M_i$ 's are the right-to-left maxima in  $\pi$  and the  $w_i$ 's are possibly empty words.

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where the  $M_i$ 's are the right-to-left maxima in  $\pi$  and the  $w_i$ 's are possibly empty words. Reading  $\pi$  from right to left:

•  $M_i \rightarrow M_i - M_{i-1}$  up steps (with  $M_0 = 0$ )

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Krattenthaler's bijection  $\Psi: S_n(123) \rightarrow D_n$ 

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where the  $M_i$ 's are the right-to-left maxima in  $\pi$  and the  $w_i$ 's are possibly empty words. Reading  $\pi$  from right to left:

• 
$$M_i \rightarrow M_i - M_{i-1}$$
 up steps (with  $M_0 = 0$ )

• 
$$w_i \rightarrow |w_i| + 1$$
 down steps

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### $\pi = \underline{7} \, \underline{4} \, \underline{6} \, \underline{2} \, \underline{1} \, \underline{5} \, \underline{3}$

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### $\pi = \underline{7}4\underline{6}21\underline{5}\underline{3}$



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### $\pi = \underline{7} 4 \underline{6} 2 1 \underline{5} \underline{3}$



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#### Visible pairs read on the corresponding path

Let  $\pi \in S_n(123)$  and let

$$\Psi(\pi)=U^{h_1}D^{k_1}\ldots U^{h_s}D^{k_s},$$

Then

$$vis(\pi) = \sum_{i=1}^{s-1} (k_i - 1) + \max\{0, k_s - 2\}.$$

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 $P_n$  = set of Dyck prefixes of length n

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 $P_n = \text{set of Dyck prefixes of length } n$ 

It is well known that  $\Psi(I_n(123))$  is the set of symmetric Dyck paths of semilegth n.

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 $P_n$  = set of Dyck prefixes of length n

It is well known that  $\Psi(I_n(123))$  is the set of symmetric Dyck paths of semilegth n.

Hence  $I_n(123)$  corresponds bijectively to  $P_n$ . If  $\pi \in I_n(123)$ , set  $\hat{\Psi}(\pi)$  to be the Dyck prefix consisting of the first *n* steps in  $\Psi(\pi)$ 

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### Visible pairs read on the corresponding prefix

Let  $\pi \in I_n(123)$  and let

$$\hat{\Psi}(\pi) = U^{h_1} D^{k_1} \dots U^{h_t} D^{k_t},$$

 $(k_t \text{ may be 0})$ . Then:

$$vis(\pi) = \sum_{i=2}^{t} (h_i - 1) + \sum_{i=1}^{t-1} (k_i - 1) + \max\{h_1 - 2, 0\} + \max\{k_t - 1, 0\}.$$

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### Distribution of **vis** over $I_n(123)$

Let

$$F(x,y) = \sum_{\pi \in I(123)} x^{|\pi|} y^{vis(\pi)}$$

Then

$$F(x,y) = \frac{H(x,y) + (x^2 - x^2y - 1)G(x,y)}{2(x^2y^3 + x^2y^2 - xy^2)},$$

where

$$G(x,y) = \sqrt{x^4y^4 - 2x^4y^2 + x^4 - 2x^2y^2 - 2x^2 + 1},$$

and

$$H(x,y) = (x^{4} + 2x^{3} + 2x^{2})y^{3} - (x^{4} + 2x^{3} + x^{2} + 2x)y^{2} - (x^{4} - x^{2})y + x^{4} - 2x^{2} + 1.$$

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### Characterization of elements in $I_n(132)$

An involution  $\pi \in I_n(132)$  is either of the form

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### Characterization of elements in $I_n(132)$

An involution  $\pi \in I_n(132)$  is either of the form

•  $\pi = \sigma n$  with  $\sigma \in I_{n-1}(132)$ , or

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- $\pi = \sigma n$  with  $\sigma \in I_{n-1}(132)$ , or
- $\pi = v_1 n v_2 v_3 h$ , where:
  - $v_1$  is a permutation of the set  $\{n h + 1, \dots, n 1\}$

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### Characterization of elements in $I_n(132)$

An involution  $\pi \in I_n(132)$  is either of the form

•  $\pi = \sigma n$  with  $\sigma \in I_{n-1}(132)$ , or

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, where:

•  $v_1$  is a permutation of the set  $\{n - h + 1, \dots, n - 1\}$ 

■  $v_2$  is a permutation of the set  $\{h + 1, ..., n - h\}$  whose normalization is an involution

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- *v*<sub>1</sub>, *v*<sub>2</sub>, and *v*<sub>3</sub> avoid 132

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For example, consider the involution

 $\pi \ = \ 14 \ 12 \ 11 \ 13 \ 15 \ 9 \ 10 \ 8 \ 6 \ 7 \ 3 \ 2 \ 4 \ 1 \ 5$ 

Here:

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For example, consider the involution

 $\pi = 141211131591086732415$ 

Here:

 $v_1 = 14121113$ 

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For example, consider the involution

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Here:

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- $v_2 = 910867$

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For example, consider the involution

 $\pi = 141211131591086732415$ 

Here:

- $v_1 = 14121113$
- $v_2 = 910867$
- $v_3 = 3241$

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If  $\pi = \sigma n$ , then vis $(\pi) = n - 1 - \text{ltrmax}(\sigma)$  (by Useful Corollary)

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  - let x be the leftmost element in v<sub>2</sub>. Then, in the word x v<sub>3</sub> h the pair (x, h) is a visible pair and we have vis(x v<sub>3</sub> h) = h − 1 (by Useful Lemma)

< D > < A > < B > < B > < B</p>

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In order to determine  $d_{n,k}$  we need to examine the distribution:

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• of ltrmax over  $S_n(132)$ 

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In order to determine  $d_{n,k}$  we need to examine the distribution:

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In order to determine  $d_{n,k}$  we need to examine the distribution:

- of ltrmax over S<sub>n</sub>(132)
- of ltrmax over  $I_n(132)$
- of rtlmax over I<sub>n</sub>(132)

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A further Krattenthaler's bijection  $\Phi: S_n(132) \rightarrow D_n$ 

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 $\pi = m_1 w_1 m_2 w_2 \dots m_s w_s$ 

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where the  $m_i$ 's are the left-to-right minima in  $\pi$  and the  $w_i$ 's are possibly empty words.

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where the  $m_i$ 's are the left-to-right minima in  $\pi$  and the  $w_i$ 's are possibly empty words. Reading  $\pi$  from left to right:

$$\blacksquare m_i \rightarrow m_{i-1} - m_i \text{ up steps (with } m_0 = n+1)$$

•  $w_i \rightarrow |w_i| + 1$  down steps

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Also in this case, it is well known that  $\Phi(I_n(132))$  is the set of symmetric Dyck paths of semilegth n.

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Also in this case, it is well known that  $\Phi(I_n(132))$  is the set of symmetric Dyck paths of semilegth n.

Hence  $I_n(132)$  corresponds bijectively to  $P_n$ .

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ltrmax over  $S_n(132)$ 

Let  $\pi \in S_n(132)$  and set  $t = \pi(1)$ .

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The integers t, t + 1, ..., n appear in  $\pi$  in increasing order (since  $\pi$  avoids 132)

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- trmax( $\pi$ ) =  $n + 1 \pi(1)$  = length of the first ascending run of  $\Phi(\pi)$

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- trmax $(\pi) = n + 1 \pi(1)$  length of the first ascending run of  $\Phi(\pi)$

The number of Dyck paths of semilength n whose first ascending run has length s is

$$b_{n,s}=\frac{s}{n}\binom{2n-s-1}{n-s}$$

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#### ltrmax over $I_n(132)$

As before, we study the distribution of the **length of the first ascending run** over Dyck prefixes.

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#### ltrmax over $I_n(132)$

As before, we study the distribution of the **length of the first ascending run** over Dyck prefixes.

The number of Dyck prefixes of length n whose first ascending run has length s is

$$c_{n,s} = \sum_{i=1}^{\lfloor \frac{s}{2} \rfloor} (-1)^{i-1} \binom{n-2i}{\lfloor \frac{n-2i}{2} \rfloor} \binom{s-i}{i-1} \text{ if } s < n$$

and  $c_{n,n} = 1$ .

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Image: A matrix

### rtlmax over $I_n(132)$

$$\pi = u_s M_s \dots u_2 M_2 u_1 M_1,$$

where the  $M_i$ 's are the right-to-left maxima of  $\pi$  and  $u_1, \ldots, u_s$  are possibly empty words. We can show that:



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for every *i*, the portion of  $\Phi(\pi)$  corresponding to  $u_s M_s \dots u_i M_i$  ends on the *x*-axis;

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- $f_{n,s}$  = number of Dyck path of length *n* with *s* returns:

#### rtlmax over $I_n(132)$

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where the  $M_i$ 's are the right-to-left maxima of  $\pi$  and  $u_1, \ldots, u_s$  are possibly empty words. We can show that:

- for every *i*, the portion of Φ(π) corresponding to u<sub>s</sub>M<sub>s</sub>...u<sub>i</sub>M<sub>i</sub> ends on the x-axis;
- we can study the distribution of the number of returns on symmetric Dyck paths.
- $f_{n,s}$  = number of Dyck path of length *n* with *s* returns:

$$f_{2m,2t} = b_{m,t} \quad \text{and} \quad f_{2m+1,2t} = 0$$
$$f_{n,2t+1} = \sum_{i \ge t}^{\lfloor \frac{n-1}{2} \rfloor} b_{i,t} \binom{n-2i-1}{\lfloor \frac{n-2i-1}{2} \rfloor}.$$

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### Distribution of **vis** over $I_n(132)$

The number of involutions in  $I_n(132)$  with k visible pair is:

$$d_{n,k} = c_{n-1,n-1-k} + f_{n-2,n-2-k} + \sum_{j=2}^{\lfloor \frac{n}{2} \rfloor} \sum_{i=1}^{j-1} b_{j-1,i} f_{n-2j,n-2-i-k}$$

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#### Definition of co-visible pair

Let  $w = x_1x_2...x_n$  be a word whose letters are pairwise distinct integers. A co-visible pair in w is a pair  $(x_i, x_{i+r})$ ,  $r \ge 2$ , such that  $x_j > \max\{x_i, x_{i+r}\}$ , for all i < j < i + r.

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For example, the co-visible pairs of the word

w = 1362745

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For example, the co-visible pairs of the word

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are (2, 4), (3, 2), and (1, 2)

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Visibility in restricted involutions

#### Definition of rc operator

The **reverse-complement** (or rc) of a permutation  $\pi \in S_n$  is the permutation  $\pi^{rc}$  defined by

 $\pi_i^{rc} = n + 1 - \pi_{n+1-i}$ 

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For example, if

$$\pi = 263145,$$

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we have

 $\pi^{rc} = 236415.$ 

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Image: Image:

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For example, if

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we have

 $\pi^{rc} = 236415.$ 

The statistic number of visibile pair over  $I_n(213)$  is equidistributed with the statistic number of co-visibile pair over  $I_n(132)$  (via reverse-complement, which also maps involutions to involutions)

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#### Useful Lemma (2)

Let  $w = x_1 x_2 \dots x_n$  be a sequence of pairwise distinct positive integers such that  $(x_1, x_n)$  is a co-visible pair. Then the number of co-visible pairs in w is |w| - 2

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Every involution  $\pi \in I_n(132)$  corresponds to the symmetric Dyck path

$$d = \Phi(\pi) = U^{j_1} D^{l_1} U^{j_2} D^{l_2} \dots U^{j_s} D^{l_s}.$$

Then, by Useful Lemma (2)

$$covis(\pi) = l_1 - 1 + l_2 - 1 + \dots + l_{s-1} - 1$$

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Every  $\sigma \in I_n(213)$  corresponds to the Dyck prefix

$$p = U^{h_1} D^{k_1} U^{h_2} D^{k_2} \dots U^{h_t} D^{k_t}$$

consisting of the first *n* steps in  $\Phi(\sigma^{rc})$ . Then:

$$vis(\sigma) = \sum_{j=2}^{t} (h_j - 1) + \sum_{i=1}^{t-1} (k_i - 1) + \max(0, k_t - 1)$$

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#### Distribution of **vis** over $I_n(213)$

Let

$$Q(x,y) = \sum_{\pi \in I(213)} x^{|\pi|} y^{vis(\pi)} = \sum_{\pi \in I(132)} x^{|\pi|} y^{covis(\pi)}.$$

Then

$$Q(x,y) = \frac{1 - x + x^2 - x^2y + (x^2y - xy)R}{(1 - x)(1 - x - xy)}$$

where

$$R = 1 + \frac{2x^2}{1 - 2x^2y - x^2 + x^2y^2 + \sqrt{(x^2 + x^2y^2 - 1)^2 - 4x^4y^2}}.$$

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Image: A mathematical states and a mathem

# Thank you

Our paper

M. Barnabei, F. Bonetti, N. Castronuovo, and M.Silimbani, *Visibility on restricted involutions*, J. Differ. Equ. Appl. **27**(4) (2021), pp. 481-496

# Thank you for your attention!

For more questions

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